

# Holderness Investments Company

## Privacy Policy Notice

FACTS		WHAT DOES HOLDERNESS INVESTMENTS (“HOLDERNESS”) DO WITH YOUR PERSONAL INFORMATION?	
WHY?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.		
WHAT?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> <li>▪ Social security number</li> <li>▪ Account balances</li> <li>▪ Income</li> <li>▪ Assets</li> <li>▪ Risk tolerance</li> <li>▪ Transaction history</li> </ul>		
HOW?	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons HOLDERNESS chooses to share; and whether you can limit this sharing.		
Reasons we can share your personal information	Does HOLDERNESS Share?	Can you limit this sharing?	
<b>For our everyday business purposes</b> - such as to process your transactions, maintain your accounts(s) or respond to court orders and legal investigations.	Yes	No	
<b>For our marketing purposes</b> - to offer our products and services to you	No	We don’t share	
<b>For joint marketing with other financial companies</b>	No	We don’t share	
<b>For our affiliates' everyday business purposes</b> - information about your transactions and experiences	No	We don’t share	
<b>For our affiliates' everyday business purposes</b> – information about your creditworthiness	No	We don’t share	
<b>For our affiliates to market to you</b>	No	We don’t share	
<b>For nonaffiliates to market to you</b>	No	We don’t share	
Questions?	Call (336) 574-1400		

<b>Who we are</b>	
<b>Who is providing this notice?</b>	<p>Holderness Investments Company. doing business as:</p> <ul style="list-style-type: none"> <li>▪ Holderness Investments Company</li> <li>▪ Holderness Asset Solutions, LLC</li> </ul>
<b>What we do</b>	
<b>How does HOLDERNESS protect my personal information?</b>	<p>To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and facilities.</p>
<b>How does HOLDERNESS collect my personal information?</b>	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> <li>▪ Enter into an investment advisory contract</li> <li>▪ Seek advice about your investments</li> <li>▪ Give us your contact information</li> <li>▪ Tell us about your investment or retirement portfolio</li> <li>▪ Give us your income information</li> </ul> <p>We also collect your personal information from others, such as affiliates or other companies.</p>
<b>Why can't I limit all sharing?</b>	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> <li>▪ sharing for affiliates' everyday business purposes—information about your creditworthiness</li> <li>▪ affiliates from using your information to market to you</li> <li>▪ sharing for nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>
<b>Definitions</b>	
<b>Affiliates</b>	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>▪ Holderness does not share with our affiliates</li> </ul>
<b>Nonaffiliates</b>	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>▪ Holderness does not share with nonaffiliates so they can market to you</li> </ul>
<b>Joint Marketing</b>	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> <li>▪ Holderness does not jointly market</li> </ul>